PCT

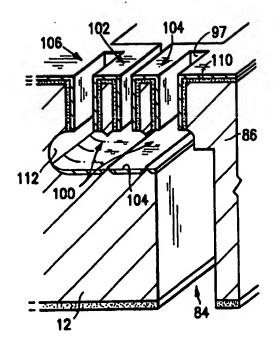
WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H01L 21/00, 21/30		(11) International Publication Number:	WO 99/36948
		(43) International Publication Date:	22 July 1999 (22.07.99
(21) International Application Number: PCT/US (22) International Filing Date: 14 January 1999 (DK, ES, FI, FR, GB, GR, IE, IT,	nt (AT, BE, CH, CY, DE LU, MC, NL, PT, SE).
(30) Priority Data: 60/071,569 15 January 1998 (15.01.98)	1	Published With international search report.	
(71) Applicant: KIONIX, INC. [US/US]; 22 Thornwood Ithaca, NY 14850 (US).	od Dri	re,	
(72) Inventors: DAVIS, Timothy, J.; 2283 State Route mansburg, NY 14886 (US). ADAMS, Scott, Mitchell Street, Ithaca, NY 14850 (US).	96, T	u- 13	
(74) Agents: COOPER, George, M. et al.; Jones, Tuliar & P.C., P.O. Box 2266 Eads Station, Arlington, V (US).	& Coop /A 222	er, 02	

(54) Title: INTEGRATED LARGE AREA MICROSTRUCTURES AND MICROMECHANICAL DEVICES



(57) Abstract

Deep reactive ion etching creates a single mask MEMS structure 20-50 micrometer deep on the top surface of the wafer. Thereafter, a bottom surface etch cooperates with trenches formed in the MEMS structure to provide through trenches which release large area structures (86) of arbitrary shape and having a thickness up to that of the wafer. The releases structure is supported in the wafer by MEMS support beams (102, 104) and motion is detected and affected by MEMS sensors and actuators, respectively.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IÈ	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	L	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 99/36948 PCT/US99/00783

INTEGRATED LARGE AREA MICROSTRUCTURES AND MICROMECHANICAL DEVICES

BACKGROUND

This application was made with Government support under Grant No. F30602-97-2-0104 awarded by DARPA. The Government has certain rights in the invention.

This application claims the benefit of U.S. Provisional application No. 60/071569, filed January 15, 1998, the disclosure of which is hereby incorporated herein by reference.

The field of the present invention relates generally to microdevices and microstructures, and more particularly to a microfabrication process which enables the creation of a millimeter-scale, large area movable structure integral with and supported by, micron-scale micromechanical flexures, actuators and/or transducers.

The term microelectromechanical systems ("MEMS") refers to a new technology in which electrical and mechanical devices are fabricated at substantially microscopic dimensions utilizing techniques similar to those well known in the manufacture of integrated circuits. Such devices will be referred to herein as MEMS devices or micromechanical devices for convenience, although it will be understood that present commercial applications of MEMS technology include

15

ŋ

5

10

15

20

microelectromechanical transducers such as pressure sensors, inertial measurement devices, electrostatic actuators, and the like, as well as a wide variety of nanometer-scale micromechanical support structures. For an introduction to the use of MEMS technology for sensors and actuators, see for example the article by Bryzek et al. in *IEEE Spectrum*, May 1994, pp. 20-31.

The application of this technology to inertial measurement devices has received a great deal of attention from the microelectromechanical community, as evidenced by the paper by Kuehnel and Sherman "A surface micromachined silicon accelerometer with on-chip detection circuitry," Sensors and Actuators A 45 (1994), pp. 7-16, and by U.S. Patent Nos. 5,245,824, 5,563,343, 5,126,812 and 5,095,752. Microaccelerometers are available as commercial products, and most of these devices have been applied to the sensing required for deployment of airbags in automobiles. This application requires an accelerometer sensitive to accelerations in the range of 50g (490 m/s²), and microaccelerometers offer size, cost and performance advantages over prior technologies, such as piezoelectric devices, for inertial sensing. There is, however, substantial interest in obtaining micromechanical accelerometers capable of sensing much smaller levels of acceleration, for example in the range of micro-g or even nano-g's (10-5 to 10-8 m/s2), but these low ranges of acceleration have eluded MEMS devices due to the inherent requirement for larger masses to sense smaller accelerations. Although MEMS fabrication techniques are versatile, they are inherently limited as to the surface area, the size and the mass of structures that can be produced.

10

15

20

One attempt to overcome the mass limitations of MEMS structures in accelerometers has been the use of electron tunneling transducers to provide extremely sensitive measurements of the very small displacements resulting from low levels of acceleration. The paper by Rockstad et al., "A miniature high-sensitivity broad-band accelerometer based on electron tunneling transducers," *Sensors and Actuators A* 43 (1994), pp. 107-114, discusses such a device, but the disadvantage of this approach is the complexity of the fabrication process required to obtain such an accelerometer. Furthermore, there are serious issues regarding the long term stability of the tunneling transducer, and accordingly such devices are not well suited to widespread commercial applications such as automotive and consumer products.

The use of wafer bonding techniques to create wafer-thick silicon structures which can serve as large masses, or the addition of layers of heavier materials such as gold as described in the paper by Roylance and Angell, "A Batch-Fabricated Silicon Accelerometer," *IEEE Trans. Electron Devices* ED-26 (1979), pp. 1911-1917 have also been suggested. These approaches have the severe disadvantage of utilizing complex, and expensive, fabrication processes resulting in devices which are not competitive in the commercial marketplace. Therefore, it is desirable to find a cost-effective micromechanical fabrication technology, such as plasma micromachining, to fabricate improved high mass structures which can function as accelerometers in MEMS devices. What is needed is a novel approach to the design and manufacture of micromechanical accelerometers of arbitrary size and shape in which such high mass structures can be obtained to provide for high sensitivity accelerometers without the introduction of complex, low yield manufacturing steps.

10

15

. 20

The use of MEMS devices as actuators, is described, for example, in the papers by Hirano et al. "Design, Fabrication, and Operation of Submicron Gap Comb-Drive Microactuators," J. Microelectromechanical Sys. 1 (1992), pp. 52-59, and Jaecklin et al. "Comb actuators for xy-microstages," Sensors and Actuators A 39 (1993), pp. 83-89. Such actuators are used to effect switching functions, direct fluid flows, move valve assemblies, tilt mirrors, move microstages, and to carry out a wide range of other functions in various microstructures. However, these MEMS actuators have limited dimensions by reason of the process used to fabricate them, and there is a need for a reliable process for making large area structures for use in micromechanical devices. Such large area surfaces would have numerous applications in research as well as in commercial products such as high density data storage, optical deflectors, and the like. Thus, it is desirable to have large area, flat microstages which are capable of being controllably scanned along an axis or in two orthogonal directions (x and y). Moreover, it is necessary that such stages be capable of being scanned over relatively large distances, several tens of micrometers for example. Accordingly, there is a need for an effective process for fabricating large area, optically flat, micromechanical stages coupled with electrostatic actuators capable of large motion actuation in one or two directions.

What is required for both large mass accelerometers and large area microstages is a process for fabricating a large area structure having dimensions up to several millimeters, releasing that structure for motion, and integrating that structure with other micromechanical and microelectromechanical devices which may have dimensions in the range of 1-3 μ m. It is further desirable that all of the structures be

fabricated from a single crystal silicon substrate material. Moreover, substantially the same fabrication process should be utilized for the creation of the large area structure and other micromechanical and microelectromechanical devices, although it should be understood that there may be circumstances under which it is more effective or economical to utilize a different fabrication process for creation of the large area structure. Further, what is needed is the ability to readily integrate the large area micromechanical devices with microelectronic circuits which may be located on the same wafer, for such circuits are required for signal conditioning, for control of the actuation of the large area structure, and for sensing its motion.

SUMMARY

5

10

15

20

In order to achieve the foregoing and to overcome the problems inherent in fabricating large area released microstructures, the present invention is directed to a monolithic process for making silicon micromechanical devices in which large area, movable structures are integral with micromechanical flexible supports, or flexures, and microelectromechanical sensors and/or actuators.

A further aspect of the invention is a fabrication technique which permits the integration of a large area released structure with conventional micromechanical devices. The conventional micromechanical devices may be flexible supports, may be motion transducers (capacitive or otherwise) and/or electrostatic actuators, and may be comprised of released beam segments formed with substantially the same processing techniques, such as plasma micromachining, as the large area structure.

10

15

20

Another aspect of the invention is the provision of micron-scale, flexible silicon beam support members, or flexures, capable of supporting a large, millimeter-scale high mass structure and enabling its motion in a desired direction(s) while substantially precluding motion in any other direction. High aspect ratio microbeam structures are utilized in the present invention to support the structure and to provide a requisite mechanical stiffness to prevent out of plane motion, while permitting controlled in-plane motion of the structure.

A still further aspect of the invention is the use of silicon beam flexures such as folded springs to enable large distance electrostatic actuation of large mass structures without introducing mechanical instabilities in the moving structures.

A further aspect of the invention is the use of substantially the same plasma micromachining technique, involving lithography, deposition, and reactive ion etching, at different stages of the device fabrication, from both the front (or top) side of a substrate such as a wafer and from the back (or bottom) side of the wafer to attain the desired structure. Appropriate alignment schemes are used to effect this merger of front and back side processing. The back-side processing could be accomplished with other techniques, such as chemical etching, and, if desired, the fabrication could be carried out through the use of a silicon-on-insulator material rather than a single crystal wafer substrate. Such alternative approaches may be advantageous under certain circumstances.

Another aspect of the invention is the integration of large area millimeter-scale

movable structures, micromechanical devices, and conventional microelectronic circuits on a common wafer, or substrate. The preferred plasma micromachining process utilized in the present invention facilitates this integration inasmuch as that

process can be carried out on a substrate containing previously fabricated

microelectronic devices.

5

10

15

20

A still further aspect of the invention is the provision of a large, relatively high mass element for use in an inertial sensor, or accelerometer, through the release of a large area solid block having substantially the full thickness of the substrate, the block being supported for relative motion in the substrate and being integral with micromechanical motion transducer elements fabricated from the substrate and located to detect and measure the motion of the block and hence the acceleration being applied to it, or which produce motion in the block, as by the application of electrostatic forces.

Still another aspect of the invention is the creation of a large, flat-surfaced platform within a wafer and mounted for motion in one or two dimensions through the integration of a micromechanical suspension and capable of use as a microstage. The large area microstage and its suspension are formed from an original flat substrate or wafer, the suspended structure being capable of moving in one or two directions in the plane of the structure. Such a microstage can be utilized in a data storage application by providing a mechanism for placing data on the surface, such as by formation of topological features, and a mechanism for sensing such data, such as by scanned probe devices.

10

15

20

In accordance with the present invention, it has been found that a large area, high mass movable structure can be fabricated on a wafer by combining deep reactive ion etch processes based on SF₆ gas chemistries, which create single mask MEMS structures 20-50µm deep within a wafer or other substrate, with a process for etching through the entire thickness of the wafer. The resulting large area, solid structure can have a depth equal to the thickness of the wafer and can have arbitrary shapes and sizes, for example from 200µm up to 6-10mm on a side. The large area structure is supported in the wafer by MEMS structures such as cantilevered beams or springs and can be connected to electrical circuitry carried on, or formed in, the wafer by conventional integrated circuit techniques.

The structures of the present invention preferably are fabricated from a silicon wafer or similar substrate which typically is 300-400 μ m thick. A pattern for the MEMS support structure is formed by a standard photolithography process on the top surface of the wafer, and the pattern is then etched into the wafer by a silicon etch, leaving silicon islands, or mesas, having the shape of the desired MEMS support structure and the shape of the large area movable platform, all surrounded by top surface trenches with vertical walls. The top surface etch depth is approximately 20-50 μ m, with the mesas having widths as small as 1-3 μ m. The trench walls are then coated with an oxide.

A second photolithography step forms a pattern for the large area structure on the bottom surface of the wafer, this pattern being accurately aligned with the pattern

on the front surface using conventional pattern alignment techniques. The bottom pattern defines the location of a bottom surface trench which will surround the large area structure to release it from the wafer, but which does not include the pattern of the top surface MEMS structure. This bottom surface trench is etched into the bottom of the wafer to a depth which is within about 10-30µm of the bottoms of the top-surface trenches previously formed, so that the large area structure is not released from the wafer.

PCT/US99/00783

10

5

15

20

This is accomplished by removing the oxide from the floor of the top-surface trench and then etching the exposed silicon to deepen the top-surface trenches sufficiently far to intersect the bottom-surface trenches to release the large area structure. This large area structure is retained in the substrate by the mesas formed during the first top surface etching step. Thereafter, the mesas of the MEMS support structure are undercut in a release process to create a cantilever beam support structure array which is connected between selected locations on the substrate and the large area structure. The cantilever beam array suspends the large area structure in a cavity formed within the wafer by the top and bottom trench etches which combine to extend completely through the wafer. A metal layer may be applied, as by sputter coating, to coat the top surfaces and the sidewalls of the support structure to provide electrical surfaces which may serve as capacitors for actuating the device, for sensing its motion, and /or for providing connections to electrical circuitry.

The foregoing is a basic process for fabricating a MEMS - supported large area

structure. More complex processes are needed to achieve higher performance. For example, a four masking level process can be used to allow the silicon beams themselves to act as capacitor electrodes. In this case, a patterned metal interconnect may be provided on the beams to provide the desired connections.

5

10

15

The large area portion of the wafer releasable by the above-described process can also be fabricated to have a reduced thickness in order to reduce its mass from the maximum provided by the full wafer thickness. Such a reduction in mass produces a platform-like structure that is more easily moved than the full-thickness block, while retaining the large surface area, and such a structure will be extremely useful in many applications; for example as a microstage. The reduced thickness structure can also be used as a data substrate for high density storage devices, for the large released area can serve as a platform upon which data bits are encoded. In this case a MEMS support structure can be used to scan the platform back and forth beneath a writing or reading head or probe with a positioning accuracy of 25nm or better.

The large area structure can also be used as a optical deflector, with the MEMS actuators being used to move the reflective deflector surface.

BRIEF DESCRIPTION OF DRAWINGS

The foregoing, and additional objects, features and advantages of the present invention will become apparent to those of skill in the art from the following detailed description of preferred embodiments thereof, taken with the accompanying drawings, in which:

5

10

. 15

Fig. 1 is a photomicrograph of a MEMS microstructure incorporating a largearea platform supported for motion within a cavity in a substrate and fabricated in accordance with the process of the present invention;

Fig.2 is an enlarged view of a portion of the microstructure of Fig. 1;

Figs. 3 through 8 illustrate the process steps of the present invention;

Fig. 9 is a top plan view of the structure illustrated in the process steps of Figs. 3-8, the illustrated steps being taken at cross-sectional line 8-8 of Fig. 9;

Fig. 10 is a photomicrograph of a second MEMS microstructure fabricated by the process of the present invention;

Fig. 11 is an enlarged view of a portion of the microstructure of Fig. 10;

Fig. 12 is an enlarged view of a portion of Fig. 11; and

Fig. 13 is a block diagram of a system in which a large area microstructure device is connected to an electronic circuit.

DETAILED DESCRIPTION

Turning now to a more detailed consideration of the present invention, Fig. 1

10

15

20

is a photomicrograph from a scanning electron microscope of a typical MEMS device 8 fabricated in accordance with the present invention. The device 8 incorporates a large area solid microstructure 10 supported in a substrate 12 such as a conventional silicon wafer. Fig. 2 is an enlargement of a portion of the device of Fig. 1. The microstructure 10 is fabricated from the wafer material, using the etching process of the invention to be described below, and is a solid block of silicon which is cut out of the wafer. As illustrated, block 10 is generally rectangular, although any arbitrary shape can be fabricated with the process of the invention. The block has a thickness and surface area that are significantly greater than are available using the beam structures typically found in MEMS devices, and thus may have a mass that is two, three or more orders of magnitude greater than has previously been possible. The block is supported within a cavity 14, which extends from the top surface through the thickness of the substrate, by suitable flexible support structures such as folded springs 16 and 18 at one end 19 of the microstructure block 10 and by similar springs 20 and 22 at its opposite end 23.

As illustrated for spring 22 in Fig. 2, each spring is connected at a first end 26 to a fixed support beam 28 which is integral with substrate 12 and is connected at its opposite end 30 to a support beam 32 mounted on, and integral with the microstructure block 10. Each spring is formed by parallel pairs of legs connected to form a generally u-shaped support structure as illustrated in Fig. 1, with the spring being extensible and contractible to allow motion of the microstructure 10 along its longitudinal x axis. As will be explained in greater detail below, the flexible support structures 16, 18, 20 and 22 are micromachined utilizing the SCREAM-1 process described in U.S. Patent Nos. 5,198,390 and 5,316,979, the disclosures of which are

10

15

20

hereby incorporated herein by reference, although other micromachine technologies can be used. The SCREAM-1 process produces spring structures in the form of silicon beams having high aspect ratios; that is, beams having a width of about 1-3 micrometers and a depth of about 10-30 micrometers, or more. The high aspect ratio of these beams tends to restrict the motion of the microstructure block 12 to its own plane, since the spring structures have little flexibility in the direction perpendicular to the plane of the microstructure.

In the illustrated MEMS device 8, the block 10 has a large surface area, but is not the full thickness of the wafer, having instead a thickness approximately equal to the thickness of the support springs. This produces a block having an intermediate mass, between that of a full-thickness block and that of typical MEMS beams, which is suitable for use as a movable platform such as a ministage, a data storage surface, or the like. In this device, a plurality of actuators 40 are provided along the two opposed side edges 42 and 43 of the microstructure block 10. These actuators are comb-type capacitive actuators which, as illustrated in greater detail in Fig. 2, include a first set of fixed plates 44 mounted on the substrate 12 and a moveable set of parallel plates 45 interdigitated with plates 44 and mounted on a support arm 46 which is integral with the microstructure block 10. The capacitive plates 44 and 45 are parallel with the xaxis 47 of the block 10 and, upon activation by suitable applied voltages, are attracted to move the substrate along the x-axis. The actuators cooperate with the support springs 16, 18, 20 and 22 to provide controlled motion of the block 10. Some or all of the capacitor plates may also act as sensors responsive to motion of the microstructure block 10 in response to applied forces such as acceleration along the x-axis, with

changes in capacitance due to any motion of block 10 being detectable by suitable electrical circuitry (not shown) connected to the capacitors by way of metal conductors 50. These conductors are connected to the stationary capacitor plates and to suitable sensor and control circuitry which may be located on the substrate 12.

5

10

15

20

The microstructure block 10, the supporting springs 16, 18, 20 and 22, and the actuators 40 are preferably fabricated from a substrate such as a single crystal silicon wafer having a polished, flat top surface. The block is formed by an etching process, to be described, which releases it for motion with respect to the substrate but which leaves the top surface 52 of the block level with the top of the substrate and smooth and flat so that it can serve as a data storage area capable of receiving patterned data bits having dimensions of less than 100 nm, as generally indicated at 54 in Fig. 2. The flat surface of the block can also serve as an optical reflector or deflector or provide other like functions. As will be discussed below, the process of the invention not only provides a moveable block having a relatively large, flat top surface area which can be on the order of one or two hundred micrometers to several millimeters on each side, but also permits the thickness of the block to be varied from that of the wafer down to as thin a structure as is compatible with structural integrity and the maintenance of the desired degree of flatness on the top surface, so as to provide a large movable, solid platform for a variety of uses. As noted above, the block 10 is illustrated as being rectangular, but any arbitrary shape may be provided. The block 10 illustrated in Figs. 1 and 2 provides a data surface on the order of 2 by 3mm and a thickness of about 50 µm, which is moveable back and forth along its x-axis to permit scanning of data.

10

15

20

The process of fabricating the structures such as that illustrated in Figs. 1 and 2 is illustrated in Figs. 3-8, to which reference is now made. As illustrated Figs. 3, a double-sided, polished silicon substrate 12 having a top surface 60 and a bottom surface 62 is provided. Typically, the substrate will be a wafer that is 300-400 micrometers in thickness, with arbitrary resistivity and crystal orientation. A silicon dioxide layer 64 is grown or deposited on the top surface 60. The oxide 64 is typically thermally grown in an 1100 degree Centigrade furnace to a thickness of 750nm, although it also can be deposited using chemical vapor deposition (LPCVD or PECVD) techniques. Thereafter, a standard photolithography step is used to define both the block 10 and a desired pattern of silicon beams such as the flexible support structures 16, 18, 20 and 22 and comb actuators 40 for supporting and actuating the block 10. This pattern is formed in a photoresist layer 66 as illustrated at 68. The pattern 68 is transferred to the oxide layer 64 using reactive ion etching. A common oxide etching procedure uses CHF3 or CF4 in a high density etch chamber such as an inductively coupled plasma (ICP) or a magnetically enhanced reactive ion etcher (MERIE), to achieve etch rates in excess of 200nm per minute.

After the oxide etch has been completed, a structure silicon etch is performed using high etch rate, high selectivity reactive ion etching. The silicon etch, illustrated in Fig. 4, produces silicon trenches 70 extending through the top surface 60 into wafer 12 In top plan view, the trenches surround unetched silicon islands, or mesas, such as the mesas 71, 72 and 73, and define the side walls of a cavity surrounding the MEMS device 8. The silicon etch may use a process such as that developed by Robert Bosch GmbH (see U.S. Pat. No. 5,501,893) which cycles between etching and passivation to

obtain silicon etch rates exceeding 2 micrometers per minute with a selectivity to photoresist exceeding 50 to 1 and a selectivity to oxide exceeding 100 to 1. In the etch cycles, SF_6 is typically flowed with Argon in a high density etcher chamber, such as an inductively coupled plasma chamber, and etches silicon at high rates. During passivation cycles, a carbon-fluorine gas is flowed instead of SF_6 to promote deposition on all surfaces. Cycling between etch and passivation protects the trench sidewalls, and allows for a high etch rate of silicon while providing vertical profiles for the trenches with high selectively. The etch depth for this step is approximately 20-50 micrometers, with mesa widths typically being as small as 1-3 micrometers and as large as desired for the large area block to be formed, for example from mesa 73.

After the silicon etch of the wafer 12, the mesa sidewalls and the sidewalls of the surrounding substrate are protected by depositing or growing a thin silicon dioxide layer 74. This layer is designed to protect the mesas sidewalls during excessive etch steps such as are required for releasing the structure, and also covers the floor 76 of the trenches and the top surface of the layer 64. This sidewall layer is typically deposited, and is usually less than 300nm thick. If desired, a thick (greater than 10 micrometers) layer of a photoresist material may be spun onto the top surface of the wafer and into the trenches 70 to protect them during the processing of the bottom surface 62 of the wafer 12, to be described.

20

5

10

15

As illustrated in Fig. 5, the next step in the fabrication process is a further definition of the large area block or platform 10 which is to be formed from the substrate and suspended in a cavity in the substrate by the flexible support structures

previously defined on the top surface. The bottom surface 62 is covered by an oxide layer 78 which may be in place as a result of the initial thermal oxidation of the wafer during processing of the front (top) surface. If no oxide layer is present, a layer may be deposited through chemical vapor deposition techniques, and a photolithography process is performed using a thick (greater than 5 micrometers) photoresist layer 80 on the oxide layer 78. The photoresist is exposed using a double-side mask aligner so that the exposure on the bottom surface of the wafer is accurately aligned with the existing patterns on the top surface of the wafer. The photolithography step defines a pattern 82 that will be etched into the bottom surface of the wafer to define the perimeter of the suspended silicon block 10. This pattern is aligned with the top surface pattern so as to cooperate with the top surface trench to produce a through trench which extends through the wafer and surrounds a desired through-wafer structure. When maximum mass is desired, as in an accelerometer application, the resulting block of silicon has the same thickness as the wafer. When a lesser mass is desired for the block, the bottom surface trench can be widened to define an area of the bottom surface over which the wafer will be thinned, as may be desired when the large area block is to be used as a scanning platform, as for data storage or recovery. The photolithography pattern created in the photoresist layer is transferred to the bottom surface oxide layer 78 using a standard oxide etching process.

20

5

10

15

As illustrated in Fig. 6, a bottom surface etch is then performed through the pattern 82, as by using the Bosch silicon etch technique described above, to carve out a bottom surface trench 84 which extends around the periphery of the region 86 of the wafer which is to become the large area block or platform. As illustrated, the bottom

surface of the region 86 is protected from the etch by the portion 87 of the patterned oxide layer 78 when the full thickness of the region 86 is to be maintained. The etching of trench 84 is stopped short of the floor 76 of the trenches 70 formed in the top-surface etching so as to prevent the region 86 from being freed from the substrate during this step. Normally, this is done by timing the bottom surface etch to insure that the floor 88 of trench 84 stops 10-30 micrometers away from the floor 76. If the substrate 12 is 400 micrometers thick, and if the trenches 70 are etched to a depth of 30 micrometers, the target etch depth for the trench 84 would be approximately 350 micrometers.

The device is completed, as illustrated in Fig. 7, by finishing the top surface

10

15

20

5

processing. First, if a protective layer of photoresist has been spun on to the etched features on the top surface, that photoresist layer is removed using a wet (chemical) or dry (plasma) etched process. Thereafter, a blanket oxide etch is performed in order to remove the passivation oxide layer 74 from the horizontal surfaces of the substrate, thus exposing the silicon at the floor 76 of the trenches 70 and a trench etch is performed in order to extend the depth of the trenches 70. This serves to expose more silicon at the bottom of the trenches and beneath the side wall oxide layer 74, as illustrated at 94, in order to facilitate the later release of the mesas, but also serves to join the portions of top trench 70 which surround the periphery of region 86 and which are aligned with the bottom trench 84 with the bottom trench to produce a through trench, indicated at 96, which extends completely through the wafer. The through trench 96 forms a cavity in the wafer to thereby release the large area region 86, except where it is joined to the substrate by the mesas which will form the flexible

PCT/US99/00783

support structures or actuators, as at bridges 97.

Thereafter, as illustrated in Fig. 8, an isotropic silicon release etching step is performed, based on SF₆ in an ICP plasma, in trenches 70. This completes the structure by undercutting the mesas 71 and 72, as illustrated at 100, to create cantilever beams 102 and 104 which are joined together at one end, as illustrated at 105 in Fig. 9, and which are secured at bridges 97 to the substrate 12 and to the now-released large area region 86 which forms the block 10 discussed above. This undercutting step may also serve to remove any remaining thin layer of silicon surrounding the large area region 86. The final released device is thus comprised of a large area silicon mass 86 which may be the thickness of the original wafer 12 and which is attached to and is integral with the wafer and is suspended in a cavity in the wafer by a cantilever beam array 106 formed from the released mesas 71 and 72.

A metal layer 110 may be sputtered onto the exposed surfaces of the substrate, the large area mass 86 and the flexible support arm array 110, as illustrated in Fig. 8, to provide conductive surfaces for use in activating the device or for providing sensors to detect motion. The metal coats the tops and sidewalls of the structure as well as a portion of the exposed floor of the substrate, as illustrated. The undercut portion indicated at 112 breaks the electrical path between the coating on the floor of the substrate and the coating on the top surface and sidewalls.

If a thinner large area mass 86 is desired, the masking step at the bottom surface of the substrate illustrated in Fig. 5 would be modified; for example, by

5

10

15

20

removing the mask from the region 87, which in the process of Fig. 5 defines the location of the block to be sopported in the cavity. By removing this region of the mask, the etching step of Fig 6 would enlarge the trench 84 to extend completely across the area of the region 86 (or across any desired portion of it, as defined by the mask), and as a result, the surface 88 illustrated in Fig. 6 would form the bottom surface of the block as illustrated by the dotted line 114, and the thickness of the block would be equal to the distance between surface 88 and the top surface 60 of the original substrate as indicated by the distance d in Figs. 6 and 7. This thinner block would be suitable as a movable platform for applications where a wide range of motion is desired, as indicated above.

5

10

15

20

Although the process has been described as including the application of a metal layer 110, it will be understood that if desired a more complicated process can be used where, instead of providing a final layer of metal, a patterned metal interconnect on top of an oxide layer on the silicon beams can be provided. This would allow the beams themselves to be used as capacitor electrodes by electrically isolating them from the substrate by oxide isolation segments transverse to the beams.

The process of Figs. 3-8 results in a high mass solid silicon block cut out of a silicon wafer and suspended in a cavity extending through the full thickness of the wafer. The mass inherent in such a structure is 100-1000 times that of a silicon device fabricated by the SCREAM-1 process described above and used to fabricate high aspect ratio, thin beams such as the flexible connector array 106 illustrated in Fig. 8. Since the large area device can have dimensions in the millimeter scale rather

than the micrometer scale, it can have a high mass which may be used, in one preferred form, as a highly sensitive inertial sensor. Since the resolution of such a sensor is proportional to the proof mass of the device, this structure can provide an accelerometer having sub-milli-g resolutions.

5

10

15

20

Fig. 10 is a top perspective view of a high mass accelerometer 120 mounted in the substrate, or wafer 12 as discussed above. The accelerometer includes a high mass large area block 122 fabricated in accordance with the description of Figs. 3-8, the block 122 having the full thickness of the wafer and in the illustrated embodiment having top surface dimensions of approximately 5 millimeters by 6 millimeters. The block 122 contains approximately 28 milligrams silicon, a factor of 1000 increase in the typical accelerometer mass formed utilizing the SCREAM-1 process on the top surface only of the substrate. In the illustrated embodiment, the block 122 is supported along its longest sides by a plurality of spaced flexible support structures 124, illustrated in greater detail in Figs. 11 and 12. These flexible supports, or springs 124 are connected between the large area block 122 and the substrate 12 by a fixed beam support structure 126 formed on substrate 12 and extending partially over the trench 130 which corresponds to the through trench 96 described above. A thin flexible arm 132 extends from the fixed support 126 to the block 122 and supports the released block. The specific shape of the support springs is a matter of design. At the opposite ends 140 and 142, the block 122 is supported by a plurality of spaced variable capacitor beam and spring flexure assemblies 144. As illustrated in the enlarged view of Fig. 11, the assemblies 144 consist of a central backbone 148 connected at one end to the released block 124 and connected at the other end to

elongated springs 150 and 152 and variable capacitors 154. The variable capacitors include moveable arms integral with the beam 148 and stationary arms integral with the substrate 12. The springs 124, 150 and 152 tend to hold the block 122 in a stable position within the substrate, with the block being surrounded by trench 130 which extends through the substrate in the manner illustrated with respect to trench 96 in Fig. 8. The capacitors are connected to external circuitry by way of surface connectors 160 and 162 to sense even slight relative motion of the moveable and stationary capacitive plates in response to longitudinal forces applied to block 122 in a direction parallel to the beam 148. Only very slight motion of the microstructure is required to provide a detectable output, so that the device illustrated in Figs.10-12 acts as a highly sensitive accelerometer. A stop 164 may be provided adjacent to the block to limit its motion, if desired.

5

10

15

20

The connection of a microstructure device to an external electronic circuit is illustrated in Fig. 13. A microstructure 180 includes a large area portion 182 which is connected to microelectromechanical capacitors 182 and 184, as discussed above.

The capacitors are electrically connected to an electronic circuit 188, which can be in the form of a discrete or an integrated circuit, through connectors 190 and 192. The connectors may be wires, printed circuit leads, package leadframes, wire bonds, or other electrical components, or any combination thereof. In sensing applications, the circuit 188 is typically composed of charge amplifiers and other components to sense variations in the capacitance of capacitors 184 and 186 resulting from motion of the large area mass 182. In actuating applications, where the mass 182 is to be moved, the circuit 188 is preferably composed of drive amplifiers and other components

WO 99/36948 PCT/US99/00783 23

which apply selected actuating voltages to the capacitors to produce forces between the capacitor plates which result in controlled motion of the mass. In either instance control over the circuit functions is achieved through the design of the structure and by the electrical inputs. These inputs may be provided through an arbitrary electronic interface 194, which may be, for example, another circuit or a programmable computer.

Although the present invention has been described in terms of preferred embodiments, it will be understood that variations and modifications may be made without departing from the true spirit and scope thereof, as set forth in the following claims.

What is claimed is:

5

10

 A process for fabricating a solid, large area platform mounted in a cavity in a wafer for motion with respect to the wafer by integral flexible, supports, comprising:

producing on a top surface of the wafer a first pattern defining the size, shape and location of a large area platform, and actuators for the platform;

etching through said first pattern to produce in a top portion of the wafer top surface trenches surrounding mesas corresponding to said platform, said supports and said actuators;

producing on a bottom surface of the wafer a second pattern corresponding to the size, shape and location of said platform;

etching through said second pattern to produce in a bottom portion of the wafer a bottom trench corresponding to said platform, the bottom trench being aligned with but spaced below the top trench surrounding the mesa corresponding to said platform;

further etching the top trenches to cause the top surface trench surrounding the mesa corresponding to said platform to intersect said bottom trench to produce a through trench to free said platform; and

additionally etching said top trenches to undercut said mesas to release said supports and said actuators from the wafer underlying the supports and actuators, the ends of said supports being integral with and cantilevered from the wafer and the platform and extending therebetween to support the platform.

- 2. The process of claim 1, wherein producing said first pattern on the top surface of a wafer includes coating the top surface with an oxide layer, and photolithographically patterning said oxide layer.
- 3. The process of claim 2, wherein etching through said first pattern includes performing a silicon etch using a high etch rate high selectivity reactive ion etch.
- 4. The process of claim 3, wherein said etching includes alternate etch and passivation cycles.
 - 5. The process of claim 1, wherein producing a second pattern on a bottom surface

10

5

15

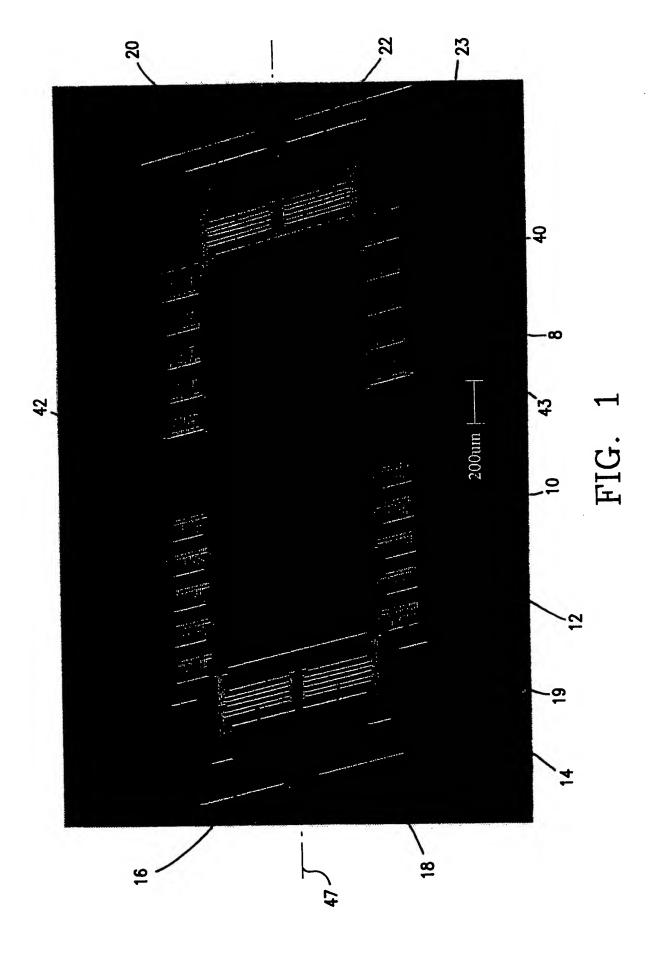
20

25

10

of said wafer includes coating the bottom surface with a second oxide layer and photolithographically patterning said second oxide layer.

- 6. The process of claim 5, wherein patterning said second oxide layer includes aligning a bottom surface pattern with the pattern produced on said top surface.
- 7. The process of claim 5, wherein etching through said second pattern includes performing a second silicon etch which extends into said wafer and stopping said second silicon etch before it reaches said top surface trenches.
- 8. The process of claim 1, wherein further etching includes deepening said top surface trenches to intersect said bottom trench.
- 9. The process of claim 1, wherein additionally etching said top trenches includes an isotropic silicon release etch.
- 10. The process of claim 1, further including sputter coating said large area platform, said flexible supports and said actuators with a conductive material.



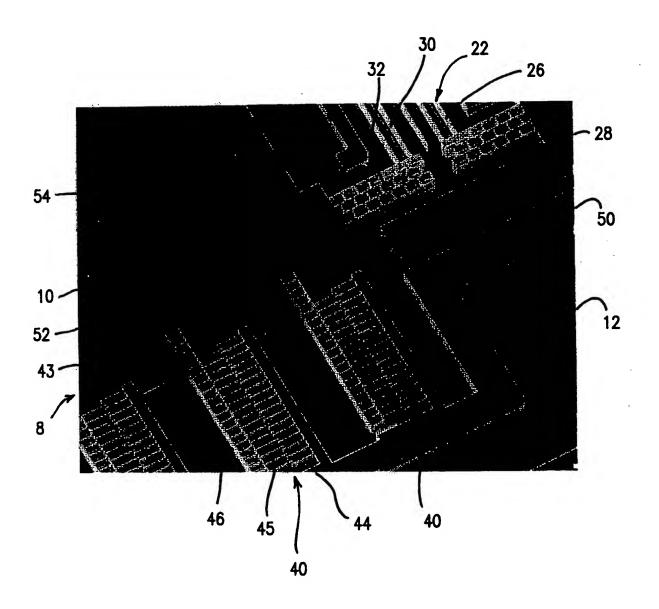
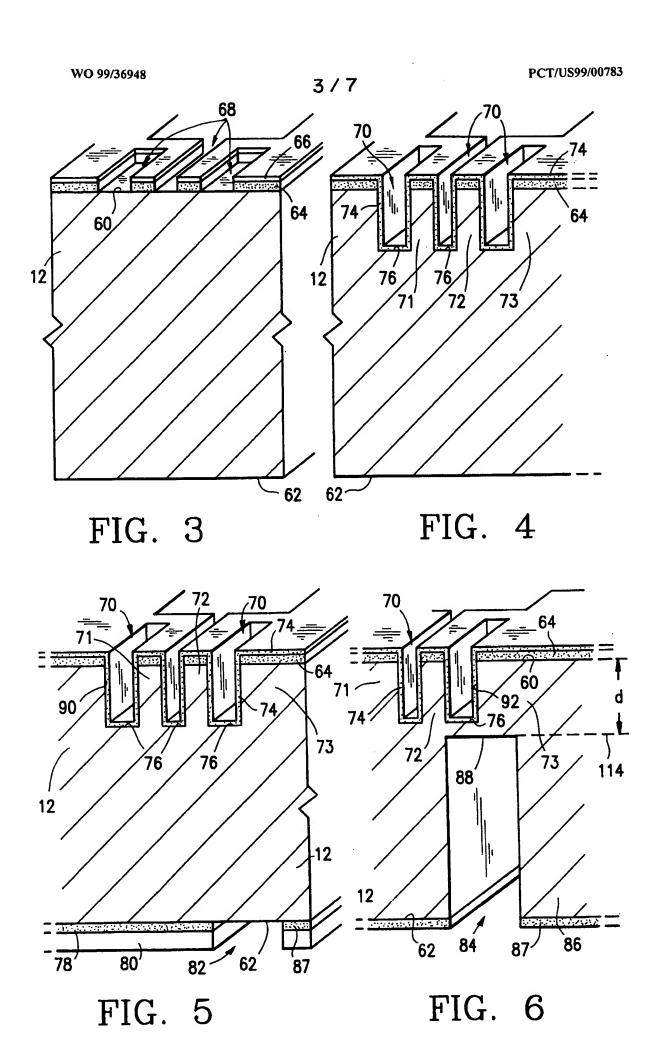


FIG. 2



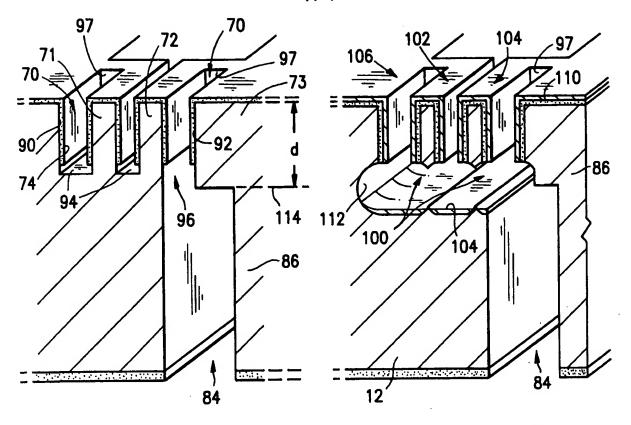
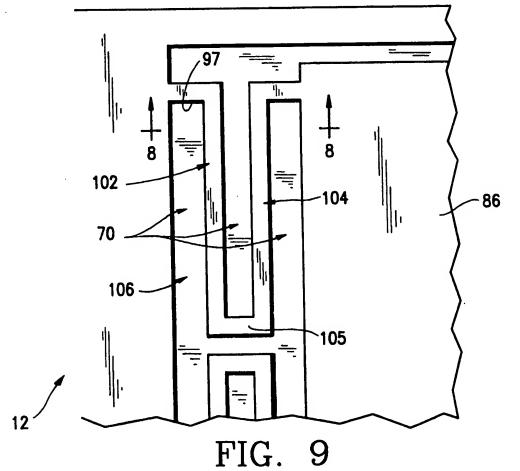
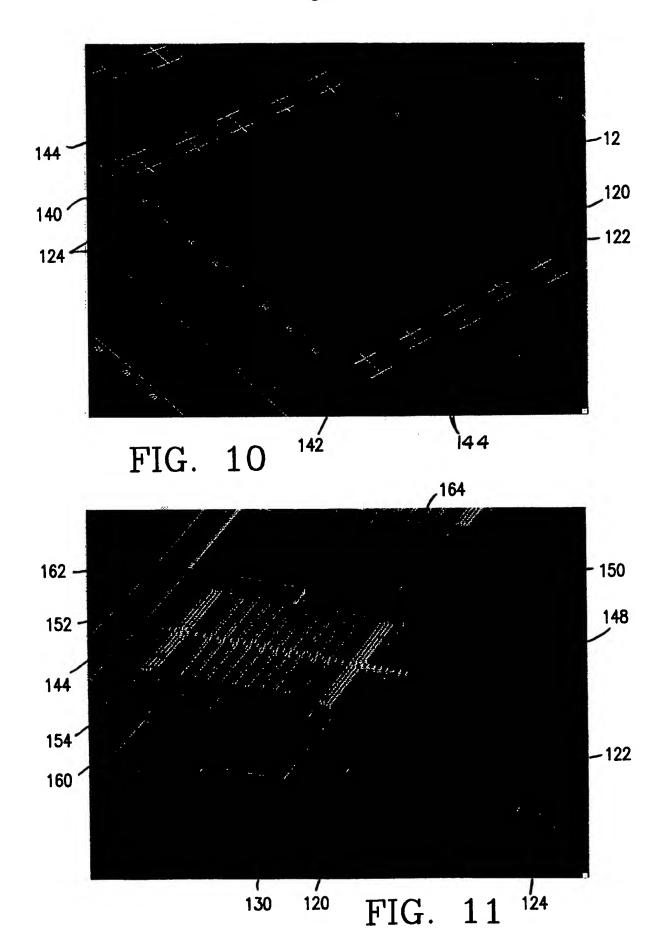
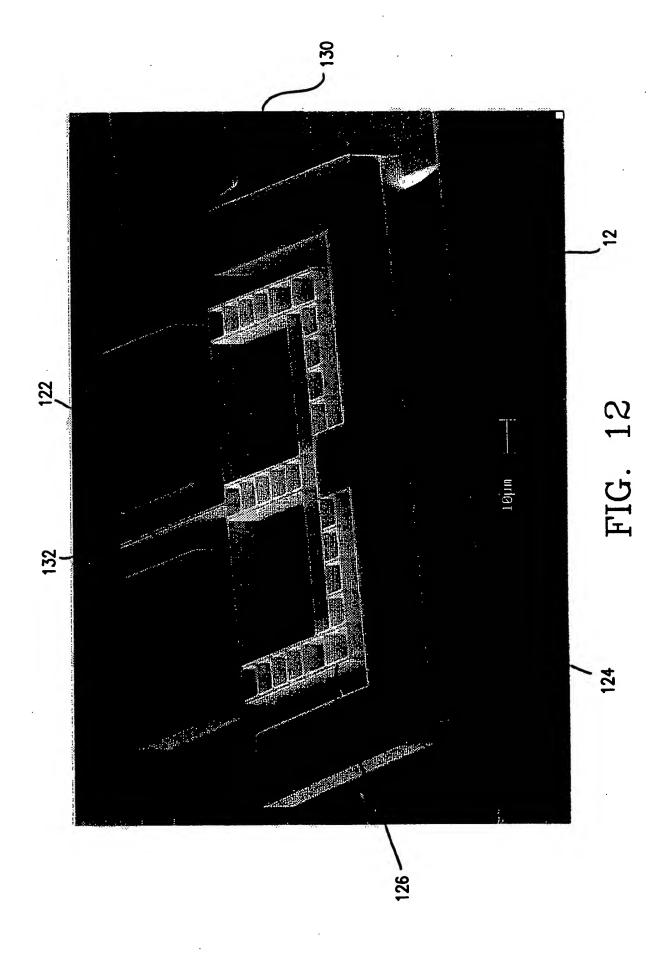


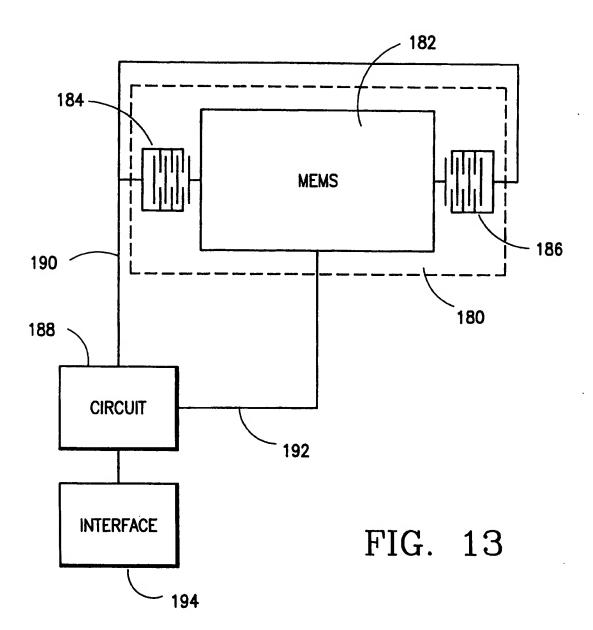
FIG. 7

FIG. 8









INTERNATIONAL SEARCH REPORT

Pacsimile No. (703) 305-3230

International application No. PCT/US99/00783

A. CLASSIFICATION OF SUBJECT MATTER						
IPC(6) :H01L 21/00, 21/30 US CL :438/694, 695, 700, 706, 712						
according to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS SEARCHED						
Minimum documentation searched (classification system followed by classification symbols)						
U.S. : 438/694, 695, 700, 706, 712						
Documentation searched other than minimum documentation to the	extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (na	me of data base and, where practicable, search terms used)					
C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category* Citation of document, with indication, where ap	propriate, of the relevant passages Relevant to claim No.					
Y US 5,610,335 A (SHAW et al.) 11 Mar 18	ch 1997, Fig. 5 and col. 16-					
Y US 5,645,684 A (KELLER) 08 July 19	997, Fig.4 and col. 13-14 1-10					
A US 5,637,189 A (PEETERS et al.) 10	June 1997, entire document 1-10					
Purther documents are listed in the continuation of Box C. See patent family annex.						
Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand					
"A" document defining the general state of the art which is not considered to be of particular relevance	the principle or theory underlying the invention					
B earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step					
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is					
"O" document referring to an oral disclosure, use, exhibition or other means	combined with one or more other such documents, such combination being obvious to a person skilled in the ert					
P document published prior to the international filing date but later than the priority date claimed	*&* document member of the same patent family					
Date of the actual completion of the international search	Date of mailing of the international search report • ORMAY 1999					
30 MARCH 1999						
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	KIN-CHAN CHEN					
Persimile No. (703) 305-3230	Telephone No. (703) 305-0222					



This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
·

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)